Effects of the Sudakov form factor and exact kinematics on the Golec-Biernat–Wüsthoff and Bartels–Golec-Biernat–Kowalski models

Tomoki Goda

in collaboration with

Krzysztof Kutak and Sebastian Sapeta



presented at the Białasowka seminar on 17/03/2023

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

outline

Introduction

Sudakov form factor

Exact gluon kinematics

▲□▶ ▲圖▶ ▲目▶ ▲目▶ 目 のへで

Introduction

General introduction

- DIS has been central to the study of proton structure.
- The knowledge of the structure of protons is vital for measurements of processes such as the p-p collision.
- With Electron Ion Collider (EIC) on horizon, DIS remains to be of great interest.
- Factorization allows long-distance effects to be encoded inside process-independent parton distribution functions (PDFs).



k_t -factorization

The inclusive DIS process $ep \rightarrow \gamma^*(q)p(p) \rightarrow X$.



with $q' \equiv q + xp$,

 $\kappa = \alpha p - \beta q' + \kappa_t$ and $k = ap - bq' + k_t$.

 The structure function can be expressed in terms of the dipole gluon density \mathcal{F} [Kimber, 2001, Kwiecinski et al., 1997]:

$$F_{2T}(x,Q^2) = \sum e_f^2 \frac{Q^2}{4\pi} \int d\tilde{\Pi} \ \alpha_s(\mu^2) \mathcal{F}(x/z,k_t^2)$$
$$\times \left[\left[\beta^2 + (1-\beta)^2 \right] \left(\frac{\kappa_t}{D_1} - \frac{\kappa_t - \mathbf{k}_t}{D_2} \right)^2 + m_f^2 \left(\frac{1}{D_1} - \frac{1}{D_2} \right)^2 \right],$$

$$\begin{aligned} F_{2L}(x,Q^2) &= \sum e_f^2 \frac{Q^2}{4\pi} \int d\tilde{\Pi} \ \alpha_s(\mu^2) \mathcal{F}(x/z,k_t^2) \\ &\times \left[4Q^2\beta^2(1-\beta)^2 \left(\frac{1}{D_1}-\frac{1}{D_2}\right)^2 \right], \end{aligned}$$

where

$$\int d\tilde{\Pi} = \int \frac{dk_t^2}{k_t^2} \int_0^1 d\beta \int d\kappa_t^2 \frac{d\phi}{2\pi} \Theta(1 - x/z)$$

$$D_1 = \kappa_t^2 + \beta(1 - \beta)Q^2 + m_f^2, \quad D_2 = (\kappa_t - \mathbf{k}_t)^2 + \beta(1 - \beta)Q^2 + m_f^2$$

$$\frac{1}{z} = 1 + \frac{\kappa_t'^2 + m_f^2}{\beta(1 - \beta)Q^2} + \frac{k_t^2}{Q^2}.$$

4/37

Dipole factorization

The formula can also be written in the impact parameter space [Nikolaev & Zakharov, 1991]:

$$F_{2T}(x,Q^2) = 6 \sum e_f^2 \frac{Q^2}{4\pi^2} \int d\Pi \ \sigma_{\text{dipole}}(x/z,r) \\ \times \left[\left[\beta^2 + (1-\beta)^2 \right] K_0^2(\epsilon r) + m_f^2 K_1^2(\epsilon r) \right],$$

$$\begin{aligned} F_{2L}(x,Q^2) &= 6 \sum e_f^2 \frac{Q^2}{4\pi^2} \int d\Pi \ \sigma_{\text{dipole}}(x/z,r) \\ &\times \Big[4Q^2\beta^2(1-\beta)^2 \mathcal{K}_1^2(\epsilon r) \Big], \end{aligned}$$

where

$$\int d\Pi = \int_0^1 d\beta \int \frac{d^2\mathbf{r}}{(2\pi)^2} \Theta(1 - x/z)$$
$$\epsilon^2 = \beta(1 - \beta)Q^2 + m_f^2$$

5/37

Dipole factorization

The above formula is factorized in the form [Nikolaev & Zakharov, 1991]

$$F_2\left(x,Q^2\right) \sim \int_0^1 d\beta \int d^2 \mathbf{r} \left|\Psi\left(\beta,r,Q^2\right)\right|^2 \sigma_{\mathrm{dipole}}\left(x,r\right).$$

This form has a nice interpretation:

- Ψ ... describes fluctuation of γ^* into $q\overline{q}$ pair with momentum fractions β and 1β ,
- σ_{dipole} ... describes interaction of the $q\overline{q}$ pair of a size r with the target proton.



イロト イボト イヨト イヨト

Dipole cross section

The dipole cross section is related to the gluon density by the relation

$$\sigma_{\rm dipole}(x,r) = \frac{4\pi}{C_A} \int \frac{d^2 \mathbf{k_t}}{k_t^2} \left(1 - e^{-i\mathbf{r}\cdot\mathbf{k}_t}\right) \alpha_s \mathcal{F}(x,k_t^2).$$

When the dipole size r is small

$$\sigma_{
m dipole}(x,r) \approx r^2 rac{4\pi^2 lpha_s x g(x,1/r)}{C_A}.$$

 \rightarrow Colour transparency.

(When the dipole is small, it looks colourless.)

But what happens when r increases? Do we have unlimited growth?

Saturation!

Saturation and non-linear evolution

- ▶ Balitsky–Fadin–Kuraev–Lipatov (BFKL) [Balitsky & Lipatov, 1978, Kuraev et al., 1977] which resums large logarithms, log(1/x), predicts sharp rise of cross section ~ x^{-λ}.
- ► Froissart bound limits the growth to be ≤ log²(1/x) [Froissart, 1961].
- Saturation is described by nonlinear equations. e.g.

Balitsky–Kovchegov (BK) [Balitsky, 1996, Kovchegov, 1999], Gribov–Levin–Ryskin (GLR) [Gribov et al., 1983] etc.

But it is often useful to have a simple model to describe the phenomenon!

GBW and BGK models

GBW model is in the form [Golec-Biernat & Wusthoff, 1998]

$$\sigma_{\rm GBW}(x,r) = \sigma_0 \left(1 - e^{-\frac{r^2 Q_s^2}{4}}\right),$$

where

$$Q_s^2(x) = \frac{1}{4} \left(\frac{x_0}{x}\right)^{\lambda}$$

is the saturation scale, which separates the scaling region ($\sim r^2$), and the saturated region ($\sim \sigma_0$).

The DGLAP-improved BGK [Bartels et al., 2002] model reads:

$$\sigma_{\mathrm{BGK}}(x,r) = \sigma_0 \left(1 - \exp\left[-\frac{r^2 4\pi^2 \alpha_s(\mu^2) x g(x,\mu^2)}{3\sigma_0} \right] \right),$$

where

$$\mu^2 = C/r^2 + \mu_0^2$$
 and $xg(x, Q_0^2) = A_g x^{-\lambda_g} (1-x)^{5.6}$

9/37

Sudakov Form Facor

arXiv:2210.16084

<ロト < 回 ト < 直 ト < 直 ト < 亘 ト 三 の < © 10 / 37

Sudakov form factor

- Sudakov form factor resumms logarithms of two vastly different scales, such as log(q_t/Q) [Collins et al., 1985].
- It was shown by Xiao et al. [Xiao et al., 2017], that large logarithms of type log(1/x) and the Sudakov logarithms can be resummed consistently and simultaneously.
- Hard scale dependence is subleading in the leading log(1/x) approximation [Kimber et al., 2000].

We use Xiao et al.'s formula for the dipole gluon density and introduce a new, hard-scale-dependent dipole cross section $\Sigma_{\rm dipole}$:

$$\begin{split} \Sigma_{\rm dipole}(x,r,Q^2) &= \int \frac{d^2 \mathbf{k}_t}{(2\pi)^2 k_t^2} \left(1 - e^{i\mathbf{k}_t \cdot \mathbf{r}} \right) \\ &\times \int d^2 \mathbf{r}' e^{i\mathbf{k}_t \cdot \mathbf{r}'} e^{-S(r',Q^2)} \nabla_{\mathbf{r}'}^2 \sigma_{\rm dipole}(x,r') \end{split}$$

$$= \int_0^r dr'r' \log\left(\frac{r}{r'}\right) e^{-S(r',Q^2)} \nabla_{r'}^2 \sigma_{\text{dipole}}(x,r').$$

This new object Σ_{dipole} is hard scale, Q^2 , dependent, and does not converge to a constant at large r.

In the present study, we focus on the leading-order, perturbative Sudakov factor [Xiao et al., 2017],

$$\mathcal{S}^{(1)}_{ ext{pert}}(r,Q^2) = rac{\mathcal{C}_A}{2\pi} \int_{\mu_b^2}^{Q^2} lpha(\mu^2) rac{d\mu^2}{\mu^2} \log\left(rac{Q^2}{\mu^2}
ight).$$

For the case of the running coupling $\alpha_s(\mu^2) = 1/(b_0 \log \frac{\mu^2}{\Lambda_{\rm QCD}^2})$,

$$\begin{split} S^{(1)}_{\text{pert}}(r,Q^2) &= \frac{\mathcal{C}_A}{2\pi b_0} \Big[-\log\left(\frac{Q^2}{\mu_b^2}\right) \\ &+ \left(\frac{1+\alpha(\mu_b^2)b_0\log\left(\frac{Q^2}{\mu_b^2}\right)}{\alpha(\mu_b^2)b_0}\right) \log\left(1+\alpha(\mu_b^2)b_0\log\left(\frac{Q^2}{\mu_b^2}\right)\right) \Big], \end{split}$$

where

$$b_0 = {11 C_A - 2n_f \over 12}$$
 $\mu_b = C_S/r$ $C_S = 2e^{-\gamma_E}$

and $\gamma_{\rm E} \approx 0.577$ is the Euler-Mascheroni constant.

- We consider $S(r, Q^2) = 0$ for $\mu_b^2 > Q^2$.
- For the large-r region, te Sudakov factor is frozen with modified b_{*}-prescription of [Golec-Biernat & Sapeta, 2006]:

$$\mu_b^2 = \frac{\mu_0^2}{1 - e^{-r^2 \frac{\mu_0^2}{C}}},$$

where
$$\mu_0^2 = C/r_{\text{max}}^2$$
.

$$ightarrow$$
 No modification for $Q^2 r^2 \lesssim 1$

Set-up

- The models are fitted to Inclusive DIS data from HERA [Abt et al., 2017].
- The data are selected to be in the range

$$x \le 0.01$$
 0.045 GeV² $\le Q^2 \le 650$ GeV².

- Light quarks are taken massless. c and b quark masses are $m_c = 1.3 \text{ GeV}$ and $m_b = 4.6 \text{ GeV}$.
- Minuit package [James & Roos, 1975] was used to fit the model.

GBW + Sudakov

	$\sigma_0 [{\rm mb}]$	$x_0(10^{-4})$	λ	χ^2/dof
GBW	19.1	2.58	0.322	4.44
GBW + Sud	18.6	3.11	0.299	2.66



- Considerable improvement in the fit quality for moderate change in the parameters.
- Milder dependence on x.

Saturation scale

In terms of the gluon density, the saturation scale can ge thought of as a typical k_t^2 of gluons where \mathcal{F} peaks. For the GBW model,

$$\frac{\partial \mathcal{F}(x,k_t^2)}{\partial k_t^2} = Q_s^{-4} (Q_s^2 - k_t^2) e^{-k_t^2/Q_s^2} = 0$$



Comparison with data at selected Q^2 .



<ロト < 団ト < 巨ト < 巨ト < 巨ト 三 の Q (~ 18/37

BGK + Sudakov

	$\sigma_0 [{\rm mb}]$	$A_{ m g}$	$\lambda_{ m g}$	С	$\mu_0^2 [\text{GeV}^2]$	χ^2/dof
BGK	23.3	1.18	0.0832	0.329	1.87	1.56
BGK + Sud	22.2	8.67	-0.500	0.670	3.83	1.21



▶ $\lambda_g < 0 \rightarrow \text{small-}x$ rise comes from DGLAP evolution.

• The gluon density shifts to the higher k_t region.

Saturation scale



<ロト</th>
(日)、<</th>
(日)、
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))
(1))

Comparison with data at selected Q^2 .



Q^2 dependence

$Q_{\rm up}^2 [{ m GeV}^2]$	GBW	GBW+Sud	$Q_{\rm up}^2 [{ m GeV}^2]$	BGK	BGK+Sud
5	1.55	1.55	5	1.63	1.59
25	1.46	1.41	25	1.42	1.30
50	1.97	1.83	50	1.52	1.23
100	2.36	2.15	100	1.55	1.25
650	4.44	2.66	650	1.56	1.21

For data in the range 0.045 $\leq Q^2 \leq Q_{\rm up}$:

- Introduction of the hard scale by the Sudakov factor accounts for the Q² dependence better.
- No more deterioration with increased range of Q² for the BGK model.

Non-perturbaive Sudakov factor

Non-perturbative Sudakov factor [Collins et al., 1985, Prokudin et al., 2015]:

$$S(r,Q^2) = S_{\mathrm{pert}}(r,Q^2) + S_{\mathrm{np}}(r,Q^2),$$

where [Prokudin et al., 2015]

$$S_{\mathrm{np}}(r,Q^2) = g_1 r^2 + g_2 \log\left(rac{r}{r_*}
ight) \log\left(rac{Q}{Q_0}
ight).$$

μ_{0S}^2 [GeV ²]	$\mathrm{GBW} + \mathrm{Sud}_{\mathrm{pert}}$	$\mathrm{GBW} + \mathrm{Sud}_{\mathrm{pert} + \mathrm{np}}$	μ_{0S}^2 [GeV ²]	$\mathrm{BGK} + \mathrm{Sud}_{\mathrm{pert}}$	${\rm BGK} + {\rm Sud}_{{\rm pert}+{\rm np}}$
1	2.71	2.72	1	1.18	1.17
2	2.66	2.67	2	1.21	1.17
3	2.64	2.65	3	1.25	1.21
4	2.64	2.64	4	1.29	1.21
5	2.64	2.65	5	1.32	1.22

- The non-perturbative Sudakov factor is relevant in the large-r region, where the photon wave function strongly suppresses.
- For a small value (~ 2 GeV²), effects of the non-perturbative Sudakov factor is negligible.

Summary so far

- The Sudakov form factor can be incorporated in the dipole cross section.
- The Sudakov form factor introduces the hard scale dependence.
- Better description of data over a wide range of Q², for both the GBW and BGK models.
- lmprovement at the moderate-x region ~ 0.01 .
- For the process studied, effects of non-perturbative Sudakov factor is negligible.

Exact Gluon Kinematics (in progress)

x/z vs x

As we saw earlier, the derivation of the dipole factorization involves with the dipole cross section $\sigma_{\text{dipole}}(x/z, r)$, while the GBW formula uses $\sigma_{\text{dipole}}(x, r)$.

1/z enters implicitly as the modified variable

$$\tilde{x} \equiv x \left(1 + 4 \frac{m_f^2}{Q^2} \right).$$

The derivation of dipole factorization requires that 1/z do not depend on k_t nor κ'_t . However in the k_t factorization,

$$\frac{1}{z} = 1 + \frac{{\kappa'}_t^2 + m_f^2}{\beta(1-\beta)Q^2} + \frac{k_t^2}{Q^2} \ge \left(1 + 4\frac{m_f^2}{Q^2}\right)$$

- Massive light quarks partially simulate non-zero k_t and κ_t .
- Larger value of 1/z suppresses the cross section.
- Dipole factorization assumes that the dipole does not change its size throughout the interaction.
- Argument of the running coupling can depend of k_t and κ_t .

What are the effects of these points?

Factorization Formula

Using $\kappa'_t \equiv \kappa_t - (1 - \beta)\mathbf{k}_t$, angle ϕ can be integrated [Kimber, 2001, Kwiecinski et al., 1997]:

$$\begin{split} F_2(x,Q^2) &= \sum_f e_f^2 \frac{Q^2}{2\pi} \int \tilde{\Pi'} \; \alpha_s \mathcal{F}(x/z,k_t^2) \Theta(1-x/z) \\ &\times \Big[\left(\beta^2 + (1-\beta)^2\right) \left(\frac{l_1}{2\pi} - \frac{l_2}{2\pi}\right) \\ &+ \left(m_f^2 + 4Q^2\beta^2(1-\beta)^2\right) \left(\frac{l_3}{2\pi} - \frac{l_4}{2\pi}\right) \Big], \end{split}$$

where

$$\frac{I_1}{2\pi} = \frac{N_1 N_2 + N_3^2}{\left(N_1^2 + 2N_1 N_2 + N_3^2\right)^{3/2}} \qquad \frac{I_2}{2\pi} = \frac{N_3 - (1 - 2\beta)N_1}{(N_1 + N_4)\sqrt{N_1^2 + 2N_1 N_2 + N_3^2}}$$
$$\frac{I_3}{2\pi} = \frac{N_1 + N_2}{\left(N_1^2 + 2N_1 N_2 + N_3^2\right)^{3/2}} \qquad \frac{I_4}{2\pi} = \frac{(1 - \beta)}{(N_1 + N_4)\sqrt{N_1^2 + 2N_1 N_2 + N_3^2}}$$

for

$$N_1 \equiv \beta (1 - \beta) Q^2 + m_f^2$$
$$N_3 \equiv \kappa'_t^2 - (1 - \beta)^2 k_t^2$$

Set-up

The same configuration as the previous fit.

massless light quarks

•
$$m_c = 1.3 \text{ GeV} \& m_b = 4.6 \text{ GeV}$$

- HERA F₂ data.
- ▶ $0.045 \le Q^2 \le 650 \text{ GeV}^2$ & x < 0.01

For the GBW model we additionally investigate the k_t -factorization with running coupling, assuming

$$\alpha_{\mathfrak{s}}(\mu^2)\mathcal{F}(x,k_t^2) = \frac{\alpha_{\mathfrak{s}}(\mu^2)}{0.2} \frac{N_c}{4\pi} \int \frac{d^2\mathbf{r}}{(2\pi)^2} e^{i\mathbf{k}_t \cdot \mathbf{r}} \nabla_{\mathbf{r}}^2 \sigma_{\text{dipole}}(x,r),$$

where $\mu^2 = k_t^2 + {\kappa'}_t^2 + m_f^2$ [Kwiecinski et al., 1997].

GBW

-	$\sigma_0 \text{ [mb]}$	$x_0(10^{-4})$	λ	χ^2/dof
r-GBW	1.907e+01	2.582e+00	3.219e-01	4.438e+00
r-GBW-massive	2.384e+01	1.117e+00	3.082e-01	5.274e+00
kt-GBW	3.344e+01	1.333e+00	3.258e-01	4.396e+00
rc-kt-GBW	5.613e+01	2.639e+00	3.213e-01	2.448e+00



- The fit qualities of dipole factorization and k_t-factorization are comparable.
- Fit parameters are similar too, except for σ_0 .
- Considerable improvement from the running coupling.
- x₀ of k_t-GBW and r-GBW-massive are similar.

э

Saturation scale



<ロト < 回 ト < 巨 ト < 巨 ト ミ の Q (* 31/37) 31/37

Comparison with data at selected Q^2 .



<ロト < 団ト < 巨ト < 巨ト < 巨ト 三 のへの 32 / 37 BGK

	-	$\sigma_0 [mb]$	Ag	λ_g	С	$\mu_0^2 [\text{GeV}^2]$	χ^2/dof
Γ	<i>r</i> -BGK	2.328e+01	1.229e+00	7.311e-02	3.420e-01	1.928e+00	1.564e+00
	k _t -BGK	3.470e+01	1.048e+00	2.205e-01	2.391e-01	9.954e-01	1.527e+00



- The fit qualities of dipole factorization and k_t-factorization are comparable.
- Some difference in the second peak of the gluon density.
- Difference is more visible at the small-x region.

3 × 3

Saturation scale



Comparison with data at selected Q^2 .



35 / 37

イロン イロン イヨン イヨン 三日

Summary & Next step

- The exact gluon kinematics suppresses the cross section.
- For inclusive DIS, the fit qualities are comparable for the dipole factorization and k_t-factorization.
- The effect shows predominantly in the normalization σ_0 .
- Running coupling is important in the description of the large-Q² region.

- As a next step we will investigate less inclusive processes:
 - Dijets in DIS relevant for EIC
 - ▶ Single Inclusive jet production in *p*-*p* collision.

Acknowledgement

Thank you!

We are grateful to Krzysztof Golec-Biernat for numerous useful discussions. The project is partially supported by the European Union's Horizon 2020 research and innovation program under grant agreement No. 824093. TG and SS are partially supported by the Polish National Science Centre grant no. 2017/27/B/ST2/02004. KK acknowledges the support of The Kosciuszko Foundation for the Academic year 22/23 for the project "Entropy of dense system of quarks and gluons". Furthermore, KK acknowledges the hospitality of the Nuclear Theory group at the BNL, where part of the project was realized. 📕 Abt, I., Cooper-Sarkar, A. M., Foster, B., Myronenko, V., Wichmann, K., & Wing, M. (2017). Investigation into the limits of perturbation theory at low Q^2 using HERA deep inelastic scattering data. Phys. Rev. D, 96(1), 014001.

Balitsky, I. (1996). Operator expansion for high-energy scattering. Nucl. Phys. B, 463, 99-160.

- Balitsky, I. I. & Lipatov, L. N. (1978). The Pomeranchuk Singularity in Quantum Chromodynamics. Sov. J. Nucl. Phys., 28, 822-829.

📕 Bartels, J., Golec-Biernat, K. J., & Kowalski, H. (2002). A modification of the saturation model: DGLAP evolution. Phys. Rev. D, 66, 014001.

🔋 Collins, J. C., Soper, D. E., & Sterman, G. F. (1985). Transverse Momentum Distribution in Drell-Yan Pair and W and Z Boson Production. イロト 不得 トイヨト イヨト ヨー うらつ Nucl. Phys. B, 250, 199-224.

Froissart, M. (1961).

Asymptotic behavior and subtractions in the Mandelstam representation.

```
Phys. Rev., 123, 1053-1057.
```

📔 Golec-Biernat, K. J. & Sapeta, S. (2006). Heavy flavour production in DGLAP improved saturation model.

Phys. Rev. D, 74, 054032.

Golec-Biernat, K. J. & Wusthoff, M. (1998).

Saturation effects in deep inelastic scattering at low Q**2 and its implications on diffraction.

Phys. Rev. D, 59, 014017.



Gribov, L. V., Levin, E. M., & Ryskin, M. G. (1983). Semihard Processes in QCD. *Phys. Rept.*, 100, 1–150.

```
James, F. & Roos, M. (1975).
```

Minuit: A System for Function Minimization and Analysis of the Parameter Errors and Correlations. *Comput. Phys. Commun.*, 10, 343–367.

- Kimber, M. (2001).
 Unintegrated parton distributions.
 PhD thesis, Durham U.
- Kimber, M. A., Martin, A. D., & Ryskin, M. G. (2000). Unintegrated parton distributions and prompt photon

hadroproduction.

Eur. Phys. J. C, 12, 655-661.

Kovchegov, Y. V. (1999).

Small \times F(2) structure function of a nucleus including multiple pomeron exchanges.

Phys. Rev. D, 60, 034008.

 Kuraev, E. A., Lipatov, L. N., & Fadin, V. S. (1977).
 The Pomeranchuk Singularity in Nonabelian Gauge Theories. Sov. Phys. JETP, 45, 199–204. Kwiecinski, J., Martin, A. D., & Stasto, A. M. (1997). A Unified BFKL and GLAP description of F2 data. Phys. Rev. D, 56, 3991-4006.

Nikolaev, N. N. & Zakharov, B. G. (1991).

Color transparency and scaling properties of nuclear shadowing in deep inelastic scattering.

Z. Phys. C, 49, 607–618.

- Prokudin, A., Sun, P., & Yuan, F. (2015). Scheme dependence and transverse momentum distribution interpretation of Collins-Soper-Sterman resummation. Phys. Lett. B, 750, 533-538.

Xiao, B.-W., Yuan, F., & Zhou, J. (2017).

Transverse Momentum Dependent Parton Distributions at Small-x.

Nucl. Phys. B, 921, 104-126.