

Entanglement entropy, Krylov complexity and Deep inelastic scattering data



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Based on:

Based on

Eur.Phys.J.C 82 (2022) 2, 111

M. Hentschinski, K. Kutak

2814098

M. Hentschinski, D. Kharzeev, K. Kutak, Z. Tu

2404.07657

P. Caputa, K. Kutak

My motivation

Bounds and properties of EE may provide some new insight on behavior of parton density functions

Links to other areas (thermodynamics, gravity, quantum information, conformal field theory)

Interesting in context of parton saturation and thermalization problem of Quark Gluon Plasma

Boltzman and von Neuman entropy formulas – reminder

The entropy S of macrostate is given by the log of number W of distinct microstates that compose it

$$S = - \sum_{i=1}^W p(i) \ln p(i) \quad \text{Gibbs entropy}$$

For uniform distribution $p(i) = \frac{1}{W}$ the entropy is maximal Boltzmann entropy
 $S = \ln W$

Since partons are introduced as the microscopic constituents that compose the macroscopic state of the proton, it is natural to evaluate the corresponding entropy or entropy corresponding to parton density.

K. Kutak '11, Peschanski'12
 A. Kovner, M. Lublinsky '15
 D. Kharzeev, E. Levin '17,...

But proton as a whole is a pure state and the von Neuman entropy is 0. Can one get any nontrivial result?

For pure state (one state)
 density matrix is:

$$\rho = |\psi\rangle\langle\psi|$$

$$S_{VN} = -\text{Tr}[\rho \ln \rho] = -1 \ln 1 = 0$$

For mixed state i.e. classical statistical mixture

$$\rho = \sum p(i) |\psi_i\rangle\langle\psi_i|$$

$$S_{VN} \neq 0$$

Kharzeev, Levin '17

Entanglement entropy in DIS

The composite system is described by

$$|\Psi_{AB}\rangle \text{ in } A \cap B$$

general definitions

entangled

if the product can not be expressed as separable product state

$$|\Psi_{AB}\rangle = \sum_{i,j} c_{ij} |\varphi_i^A\rangle \otimes |\varphi_j^B\rangle$$

Schmidt decomposition

$$|\Psi_{AB}\rangle = \sum \alpha_n |\Psi_n^A\rangle |\Psi_n^B\rangle$$

orthonormal states belonging to A

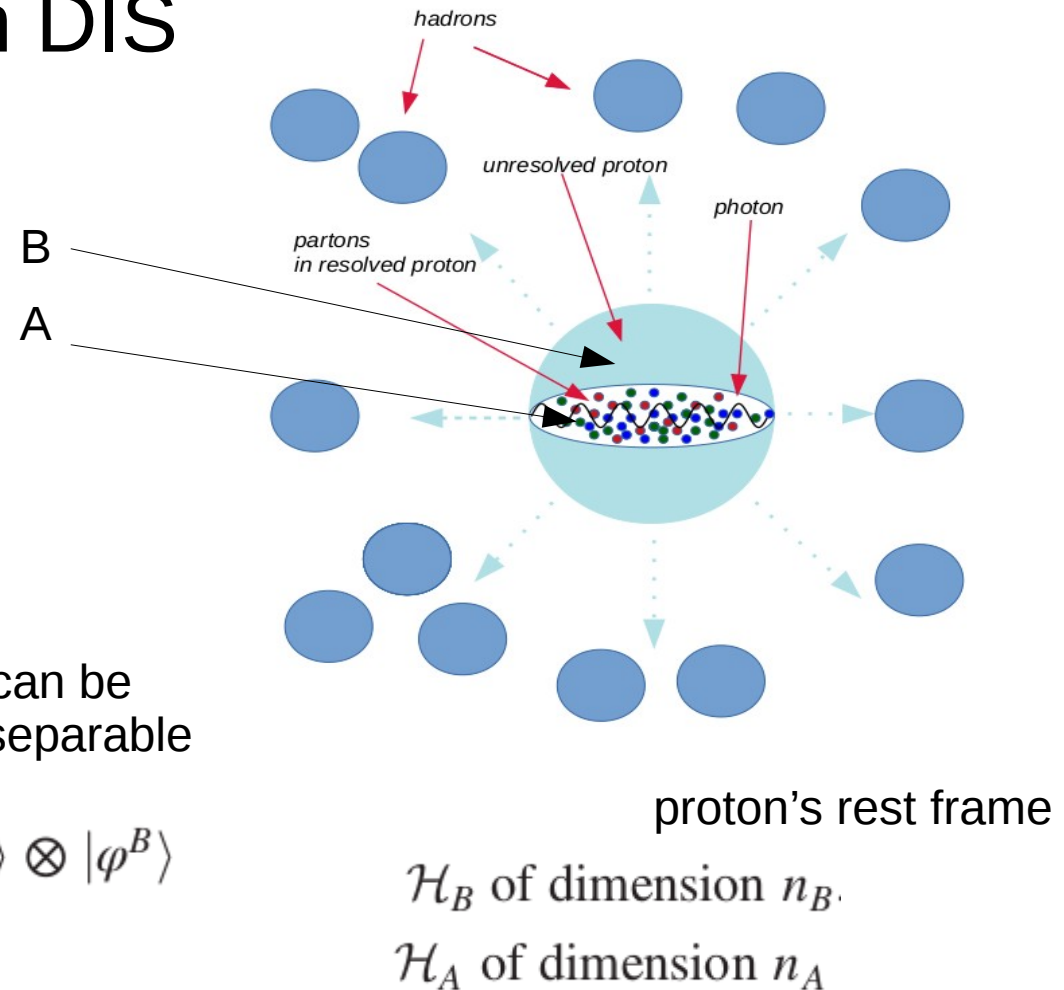
orthonormal states belonging to B

related to matrix C

separable

if the product can be expressed as separable product state

$$|\Psi_{AB}\rangle = |\varphi^A\rangle \otimes |\varphi^B\rangle$$



Kharzeev, Levin '17

Entanglement entropy in DIS

$$|\Psi_{AB}\rangle = \sum_n \alpha_n |\Psi_n^A\rangle |\Psi_n^B\rangle$$

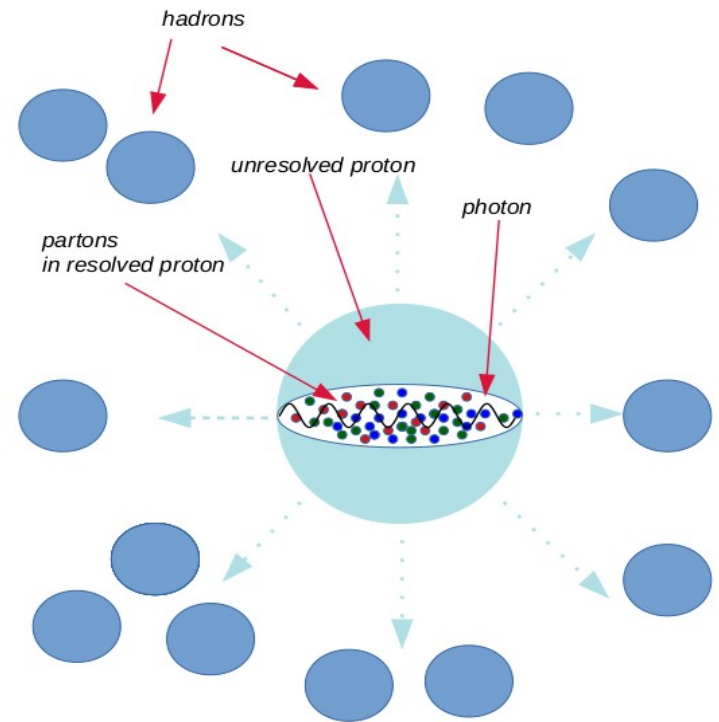
$$\rho_{AB} = |\Psi_{AB}\rangle \langle \Psi_{AB}|$$

$$\rho_A = \text{tr}_B \rho_{AB} = \sum_n \alpha_n^2 |\Psi_n^A\rangle \langle \Psi_n^A|$$

$\alpha_n^2 \equiv p_n$ probability of state with n partons

$$S = - \sum_n p_n \ln p_n$$

entropy results from the entanglement between the regions A and B, and can thus be interpreted as the entanglement entropy. Entropy of region A is the same as entropy in region B.



The density matrix of the mixed state probed in region A

Kharzeev, Levin '17

Proton structure function and dipole cross section

$$F_2(x, Q^2) = \frac{Q^2}{4\pi^2\alpha_s} \sum_q e_q^2 \int d^2k \mathcal{F}(x, k^2) (S_L(k^2, Q^2, m_q^2) + S_T(k^2, Q^2, m_q^2))$$

dipole gluon density

impact factors ~ hard coefficients

$$xg(x, Q) = \int_0^{Q^2} d\mathbf{k}^2 \mathcal{F}(x, \mathbf{k}^2)$$

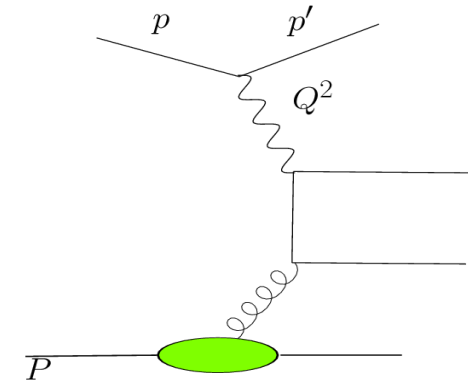
number of gluons at
“seen” at scale Q

$$F_2(x, Q^2) = \frac{Q^2}{4\pi^2\alpha_{em}} \int d^2b \int_0^1 dz \int d^2r (|\psi_L(z, r)|^2 + |\psi_T(z, r)|^2) N(x, r, b)$$

wave function

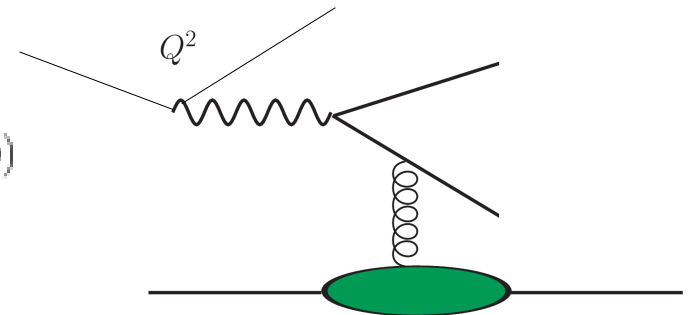
dipole amplitude

In the kt factorization



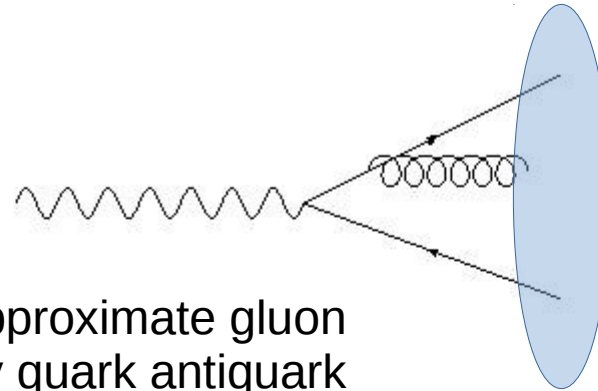
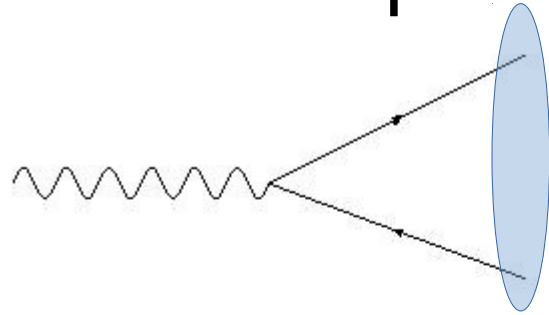
Boosted proton

In the dipole formalism



Proton in rest frame

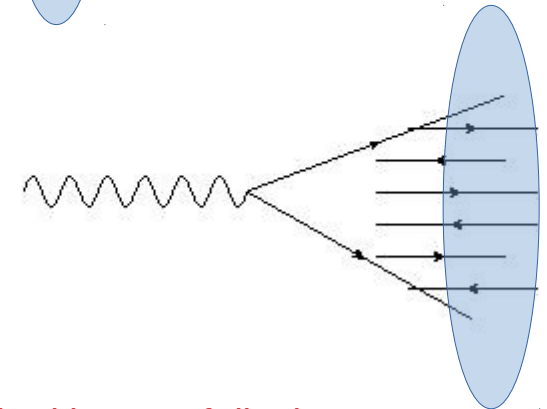
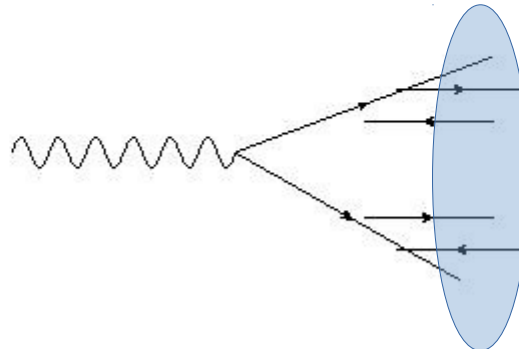
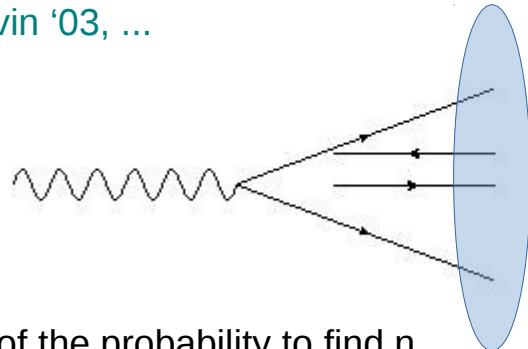
Cascade of Dipoles



approximate gluon
by quark antiquark

$$p_n = P_n$$

microstates – probabilities
for given number of
dipoles



depletion of the probability to find n
dipoles due to the splitting into $(n + 1)$ dipoles.

+ ...

$$\frac{dP_n(Y)}{dY} = -\lambda n P_n(Y) + (n - 1) \lambda P_{n-1}(Y)$$

$$P_1(0) = 1$$

$$P_{n>1}(0) = 0$$

model of BFKL
dipole cascade

growth due to the splitting
of $(n - 1)$ dipoles into n dipoles.

set of partons is described by set of dipoles
with fixed sizes, Y is rapidity and is related to
energy

depletion of the probability to find n dipoles
due to the splitting into $(n + 1)$ dipoles

the growth due to the splitting of $(n - 1)$ dipoles into n
dipoles

$$P_n(Y) = \frac{1}{n!} \int d^2 r_1 d^2 b_1 \dots d^2 r_n d^2 b_n \frac{|\Psi^{[n]}(\vec{r}_{1\perp}, \vec{b}_{1\perp}, \dots, \vec{r}_{n\perp}, \vec{b}_{n\perp}, Y)|^2}{\sum_{\sigma\sigma'} |\Psi_{\sigma\sigma'}^{(0)}(\vec{x}_{10}, z_1)|^2} \Big|_{O(\alpha_s^0)}$$

Cascade of Dipoles – parton density and entropy

$$p_n(y) = \frac{e^{-\Delta y}}{C} \left(1 - \frac{e^{-\Delta y}}{C} \right)^{n-1}$$

← constant

Kharzeev, Levin '17

$$\langle n \rangle_y = \sum_n n p_n(y) = C e^{\Delta y} \equiv \bar{n}(x)$$

← BFKL intercept

mean number of dipoles,
interpreted as parton density

$$\bar{n}(x, \mu) \equiv \frac{dn}{d \ln 1/x} = x \Sigma(x, \mu) + x g(x)$$

$$S_{inc.}(\bar{n}) = - \sum_n p_n(\bar{n}) \ln p_n(\bar{n}) = \ln \bar{n} - (\bar{n} - 1) \ln \left(1 - \frac{1}{\bar{n}} \right)$$

$$S_{inc.}^{univ.}(\bar{n}) = \ln(\bar{n})$$

This is also formulated in 3+1 D

And for hard scale dependence case

Nowak, Liu, Zahed '22, Nowak, Liu, Zahed '23

Krylov subspace, complexity – motivation

Simple reference quantum state spreads and becomes complex in Hilbert space

Visvanath, Muller '63

Altman, Avdoshkin, Cao, Parker, Scaffidi '19

Balasubramanian, Caputa, Magan, Wu '22,...

$$i\partial_t |\Psi(t)\rangle = H |\Psi(t)\rangle$$

$$|\Psi(t)\rangle = e^{-iHt} |\Psi(0)\rangle$$

$$|\Psi(t)\rangle = e^{-iHt} |\Psi_0\rangle = \sum_n \phi_n(t) |K_n\rangle$$

Krylov basis

$$p_n(t) = |\phi_n(t)|^2$$
$$\sum_n |\phi_n(t)|^2 = 1$$

Comes from studies of efficient diagonalization of matrices and computation of characteristic polynomial coefficients

The Krylov complexity used to quantify chaotic behavior of quantum systems.

$$\mathcal{C}_K(t) = \langle n \rangle = \sum_n n p_n(t)$$

One can get Lapunov exponent of considered system

Krylov subspace – construction

$$|\Psi(t)\rangle = e^{-iHt} |\Psi_0\rangle = \sum_{n=0}^{\infty} \frac{(-it)^n}{n!} |\Psi_n\rangle$$

$$|\Psi_n\rangle \equiv \{|\Psi_0\rangle, H|\Psi_0\rangle, \dots, H^n|\Psi_0\rangle, \dots\}$$

n consecutive application
of Hamiltonian

$$|K_0\rangle = |\psi(0)\rangle = |\psi_0\rangle$$

Gram-Schmidt orthogonalization procedure. Construct with K_2 by subtracting the previous two vectors, K_3 by subtracting the previous 3 vectors, and so forth

$$|z_1\rangle = \hat{H}|K_0\rangle - a_0|K_0\rangle \quad |K_1\rangle = \frac{|z_1\rangle}{\langle z_1|z_1\rangle}$$

$$|z_{n+1}\rangle = (\hat{H} - a_n)|K_n\rangle - b_n|K_{n-1}\rangle$$

Strength of the Lanczos algorithm. $n + 1$ is determined by n and $n - 1$. Low memory requirements [Visvanath, Muller '63](#)

$$|K_n\rangle = b_n^{-1}|z_n\rangle \quad b_n = \langle z_n|z_n\rangle^{\frac{1}{2}} \quad a_n = \langle K_n|\hat{H}|K_n\rangle$$

Project a high-dimensional problem
onto a lower-dimensional Krylov subspace

$$H|K_n\rangle = a_n|K_n\rangle + b_{n+1}|K_{n+1}\rangle + b_n|K_{n-1}\rangle$$

$$|\Psi(t)\rangle = e^{-iHt} |\Psi_0\rangle = \sum_n \phi_n(t) |K_n\rangle$$

$$\langle K_n|K_m\rangle = \delta_{nm}$$

$$H_{nm} := \langle K_n|\hat{H}|K_m\rangle = \begin{pmatrix} a_1 & b_1 & 0 & 0 & \cdots \\ b_1 & a_2 & b_2 & 0 & \cdots \\ 0 & b_2 & a_3 & b_3 & \cdots \\ 0 & 0 & b_3 & a_4 & \ddots \\ \vdots & \vdots & \vdots & \ddots & \ddots \end{pmatrix}$$

probability amplitudes
for each vector

$$i\partial_t \phi_n(t) = a_n \phi_n(t) + b_n \phi_{n-1}(t) + b_{n+1} \phi_{n+1}(t)$$

Krylov basis and dipole evolution

P. Caputa, K. Kutak, 2404.07657

$$i\partial_t \phi_n(t) = a_n \phi_n(t) + b_n \phi_{n-1}(t) + b_{n+1} \phi_{n+1}(t)$$

The equation above can be solved for

$$a_n = 0$$

$$b_n = \alpha n$$

$$\phi_n(t) = (-i)^n \frac{\tanh^n(\alpha t)}{\cosh(\alpha t)}$$

see also Braun, Vacca '06
Hamiltonian that they use
has the same symmetries

$$|\psi(t)\rangle = e^{-i\alpha(L_1+L_{-1})t} |0\rangle \otimes |0\rangle$$

$$|\psi(t)\rangle = \sum_{n=0}^{\infty} \frac{\tanh^n(\alpha t)}{\cosh^{2h}(\alpha t)} \sqrt{\frac{\Gamma(2h+n)}{n!\Gamma(2h)}} |n\rangle \otimes |n\rangle$$

excitation index

conformal weight

$$\rho(t) = \sum_{n=0}^{\infty} p_n(t) |n\rangle \langle n|$$

$$p_n(t) = |\phi_n(t)|^2$$

$$\mathcal{C}_K(t) = \sum_{n=0}^{\infty} n |\phi_n(t)|^2$$

$$\mathcal{C}_K(t) = \sum_{n=0}^{\infty} n |\psi_n(t)|^2 = \sinh^2(\alpha t)$$

After expressing it in terms rapidity and
probabilities rapidity variable one gets

$$p_n(Y) = \frac{\Gamma(2h+n)}{n!\Gamma(2h)} (e^{-\alpha Y})^{2h} (1 - e^{-\alpha Y})^n$$

$$\mathcal{C}_K(Y) = e^{\alpha Y} - 1 \quad Y = \ln(1/x)$$

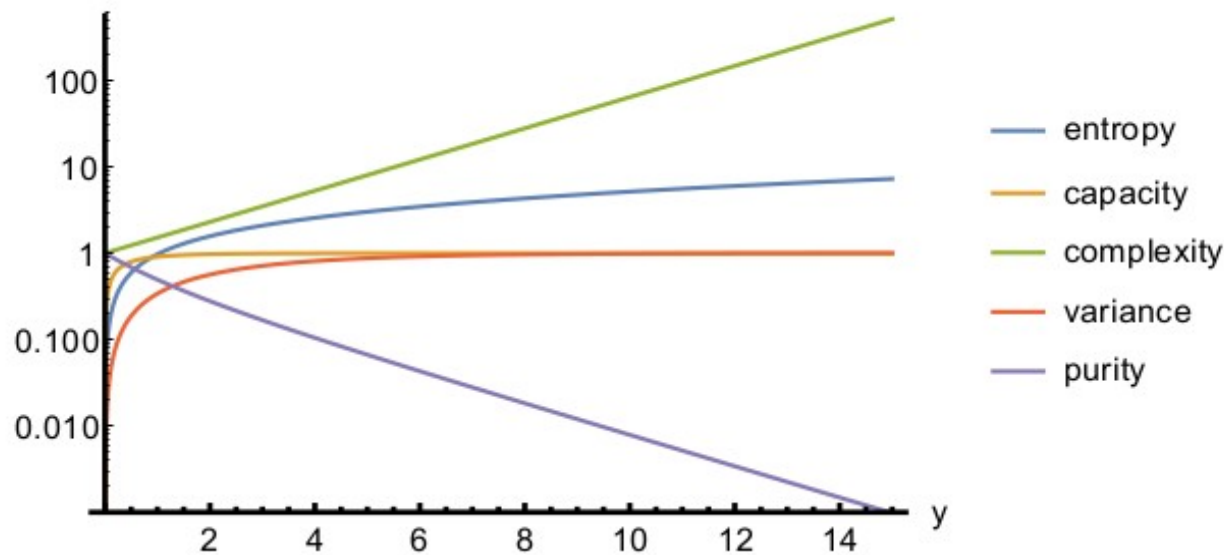
$$\partial_Y p_n(Y) = \alpha n p_{n-1}(Y) - \alpha(n+1) p_n(Y)$$

$$\mathcal{C}_K = xg(x)$$

QI measures and dipole equations

P. Caputa, K. Kutak, 2404.07657

quantum measures



$$\partial_Y p_n(Y) = -\lambda n p_n(Y) + \lambda(n-1)p_{n-1}(Y)$$

$$S_K(t) = - \sum_n p_n(t) \log p_n(t)$$

$$C_E = \lim_{m \rightarrow 1} m^2 \partial_m^2 [(1-m) S_K^{(m)}]$$

$$\mathcal{C}_K(t) = \langle n \rangle = \sum_n n p_n(t)$$

$$\delta_K^2 = \frac{\langle n^2 \rangle - \langle n \rangle^2}{\langle n \rangle^2}$$

$$\gamma_K = \sum_n p_n^2(t)$$

Entanglement entropy – calculation and measurement

For DIS at high energies, this entanglement entropy can be calculated using

$$S(x, Q^2) = \ln \left\langle n \left(\ln \frac{1}{x}, Q \right) \right\rangle$$

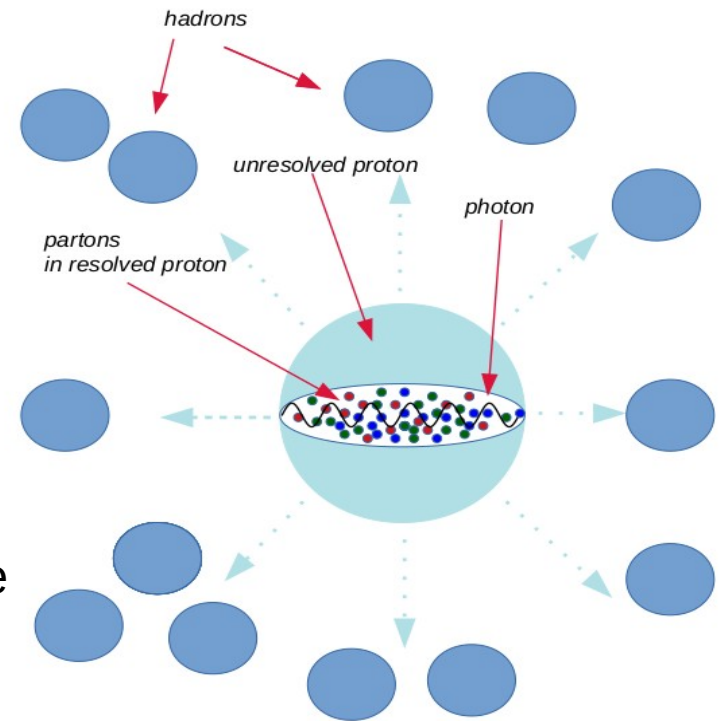
Calculated using parton/dipole density

Conjecture that these entropies are the same

$$S_{hadron} = \sum P(N) \ln P(N)$$

Measured by counting hadrons

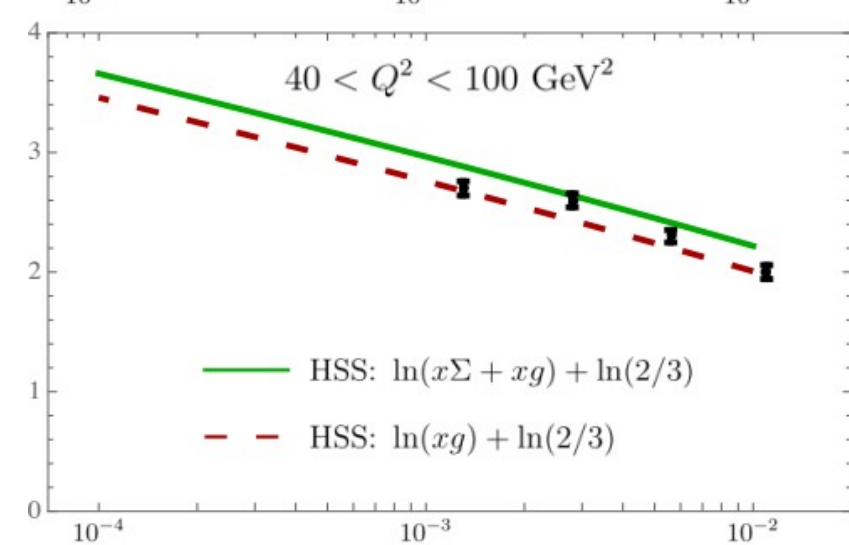
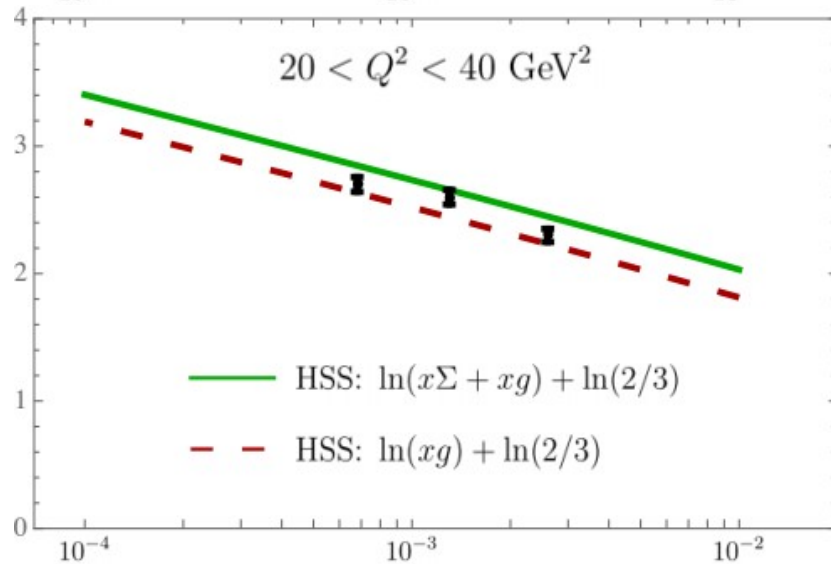
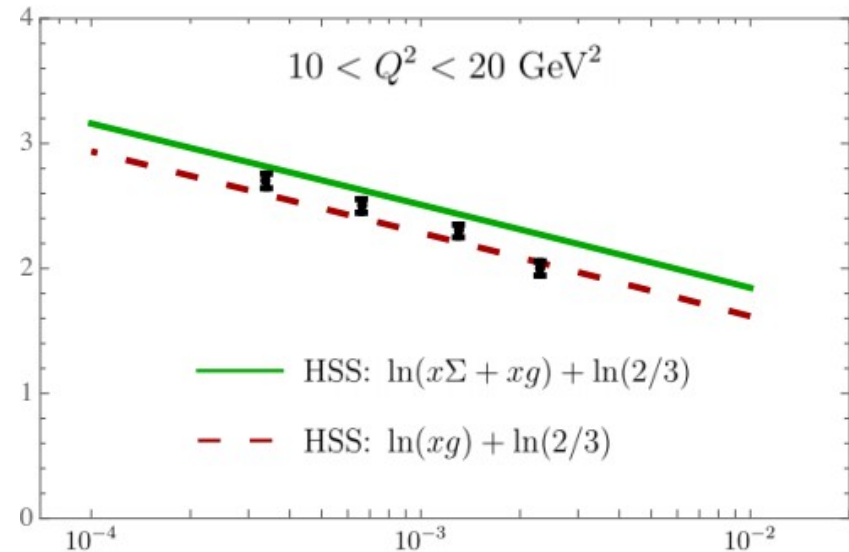
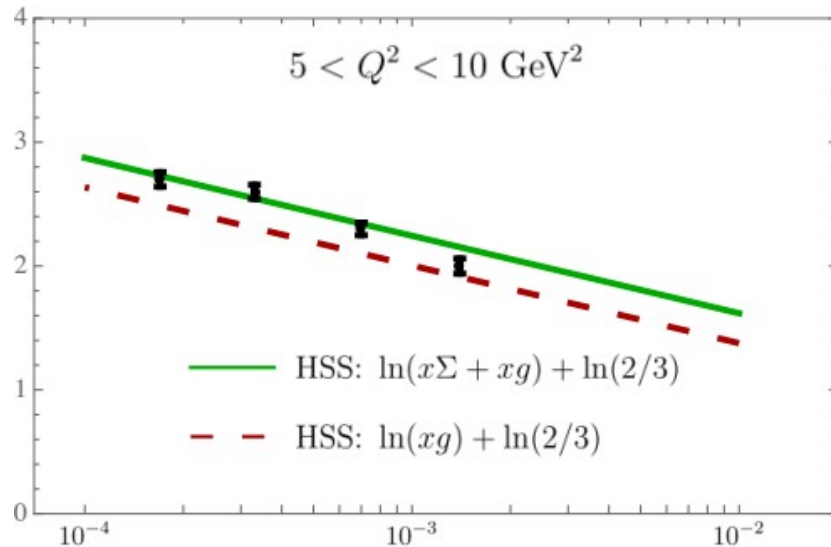
number of measured hadrons



The charged particle multiplicity distribution measured in either the current fragmentation region or the target fragmentation region.

Fraction of events with charged hadron

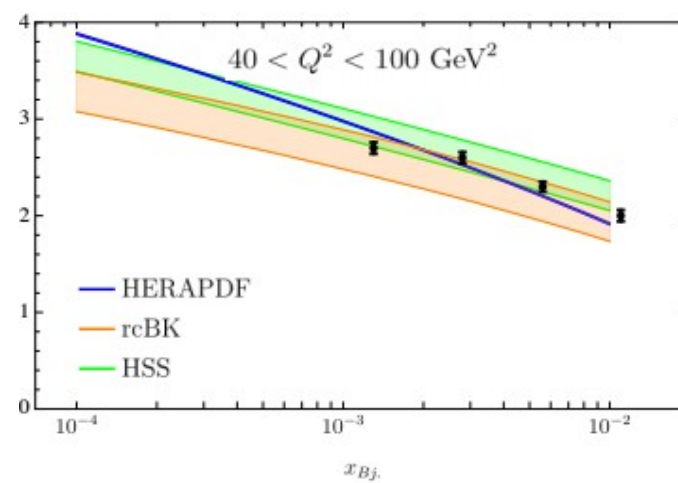
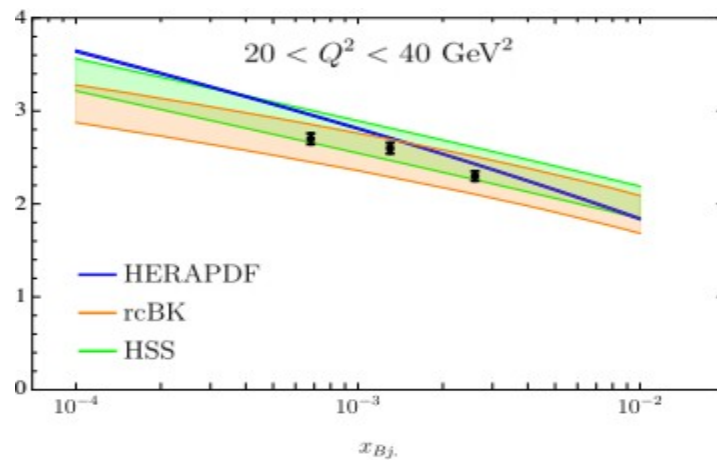
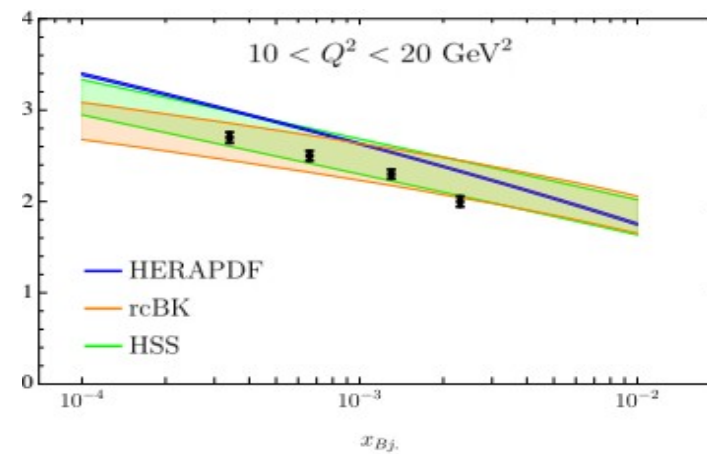
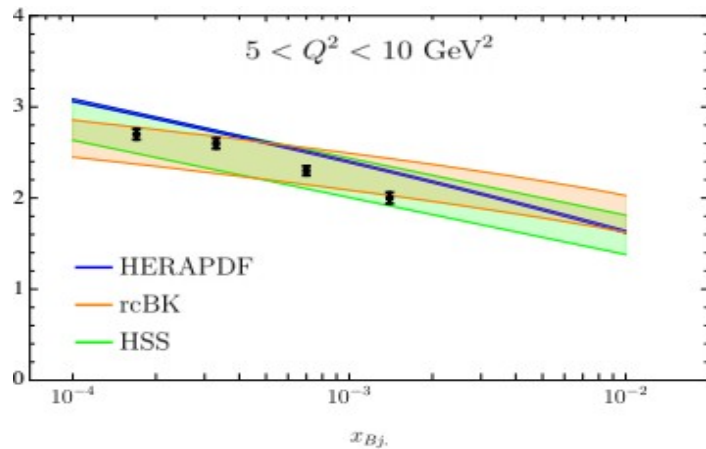
Results



Hint that the general idea works. Gluon dominates over quarks.
 One has to also take into account that only charged hadrons were measured.

Large scales - description

Martin Hentschinski, KK, Robert Straka '23



Entropy formula - interpretation

$$P_n(Y) = e^{-\lambda Y} (1 - e^{-\lambda Y})^{n-1}$$

At low x partonic microstates have equal probabilities

In this equipartitioned state the entropy is maximal – the partonic state at small x is maximally entangled.

In terms of information theory as Shannon entropy:

- equipartitioning in the maximally entangled state means that all “signals” with different number of partons are equally likely
- it is impossible to predict how many partons will be detected in a given event.
- structure function at small x should become universal for all hadrons.

Comments

CFT result for EE

central charge

$$S = \frac{c}{3} \ln \frac{L}{\epsilon}$$

UV cutoff

Relation to Kharzeev-Levin formula

$$L = (mx)^{-1}$$

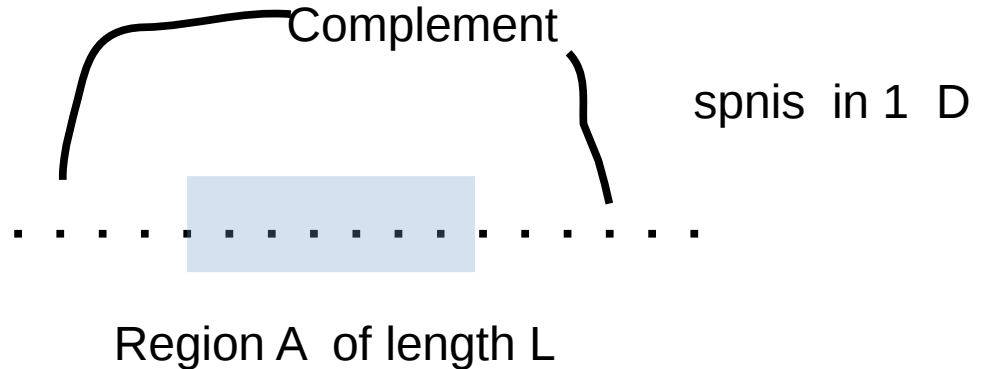
Length of tube
probed in DIS

$$S = \ln \left(\frac{1}{x} \right)^{1/3}$$

$$S(x) = \ln(xg(x))$$

$$\epsilon \equiv 1/m$$

Proton's Compton
wave length



Entanglement entropy obtained from CFT calculations as well as from gravity using Ryu-Takayanagi formula

See also
Callan, Wilczek '94
Calabrese, Cardy '04

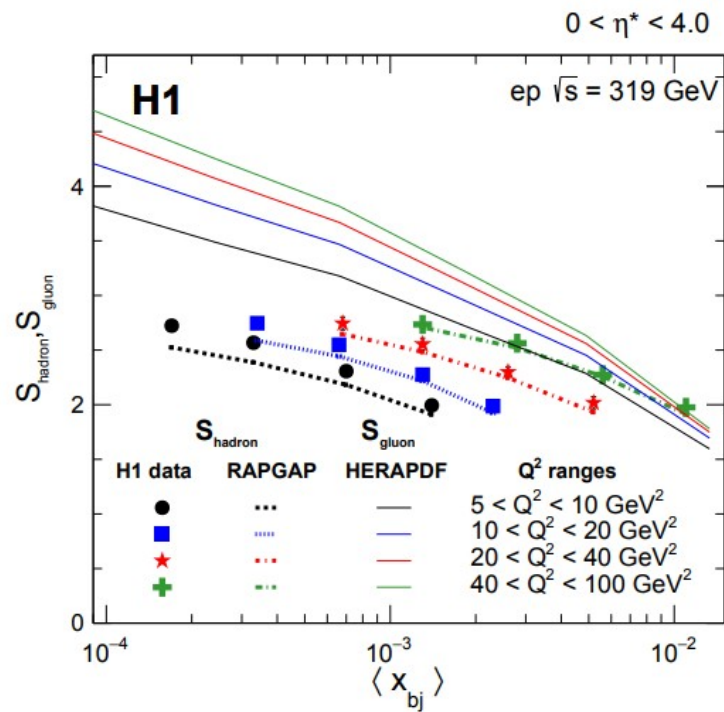
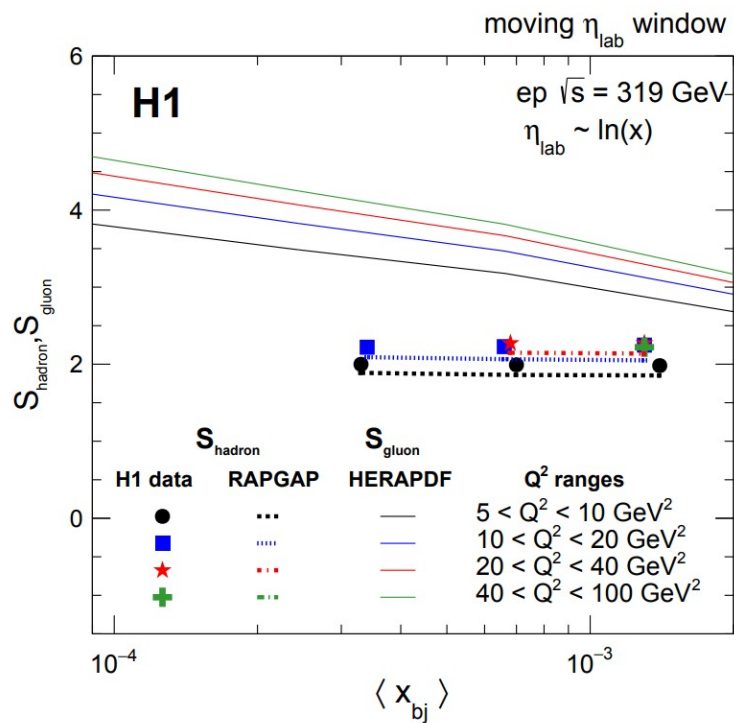
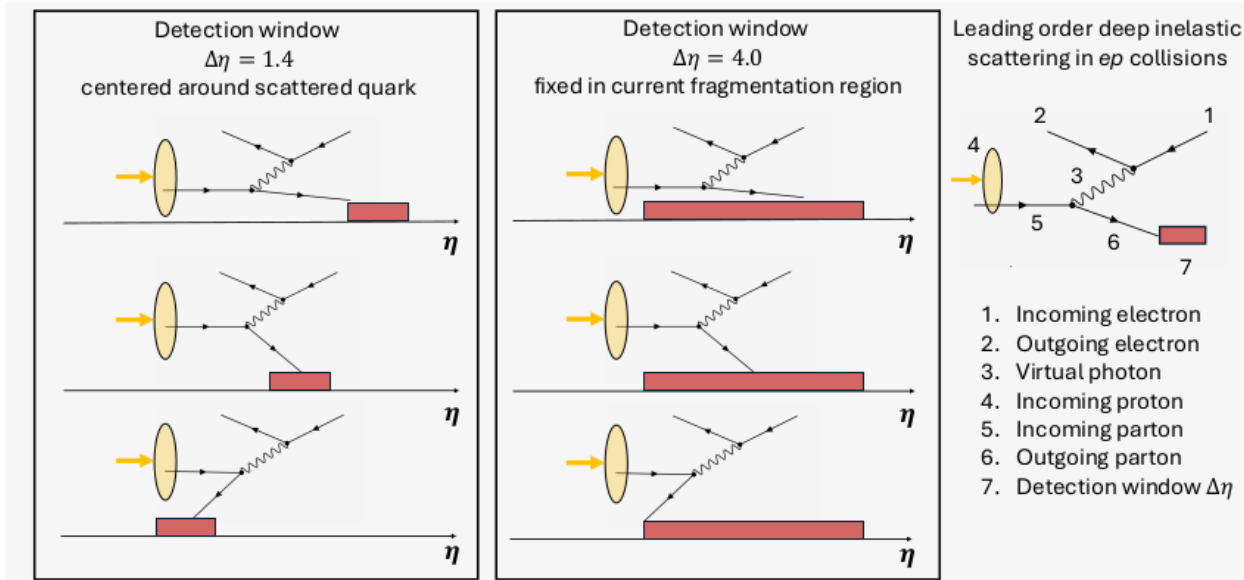
and lectures by
Headrick

Studied also in the
context of 2 D QCD

Liu, Nowak, Zahed, '22

Casini, Huerta, Hosco '05

Entropy measurements



Generalization of KL formula

Hentschinski, Kharzeev, Kutak, Tu '24

Generalize the KL formula to address measurement in rapidity window

$$p_n(y) = \sum_{m=0}^n p_{n-m}(y_0) \tilde{p}_m(y, y_0) \quad \sum_{m=0} \tilde{p}_m(y, y_0) = 1$$

probability to have m dipoles in $[y_0, y]$

n-m dipoles in the range $[0, y_0]$

$$\langle n \rangle_{y; y_0} = \sum n \tilde{p}_n(y, y_0)$$

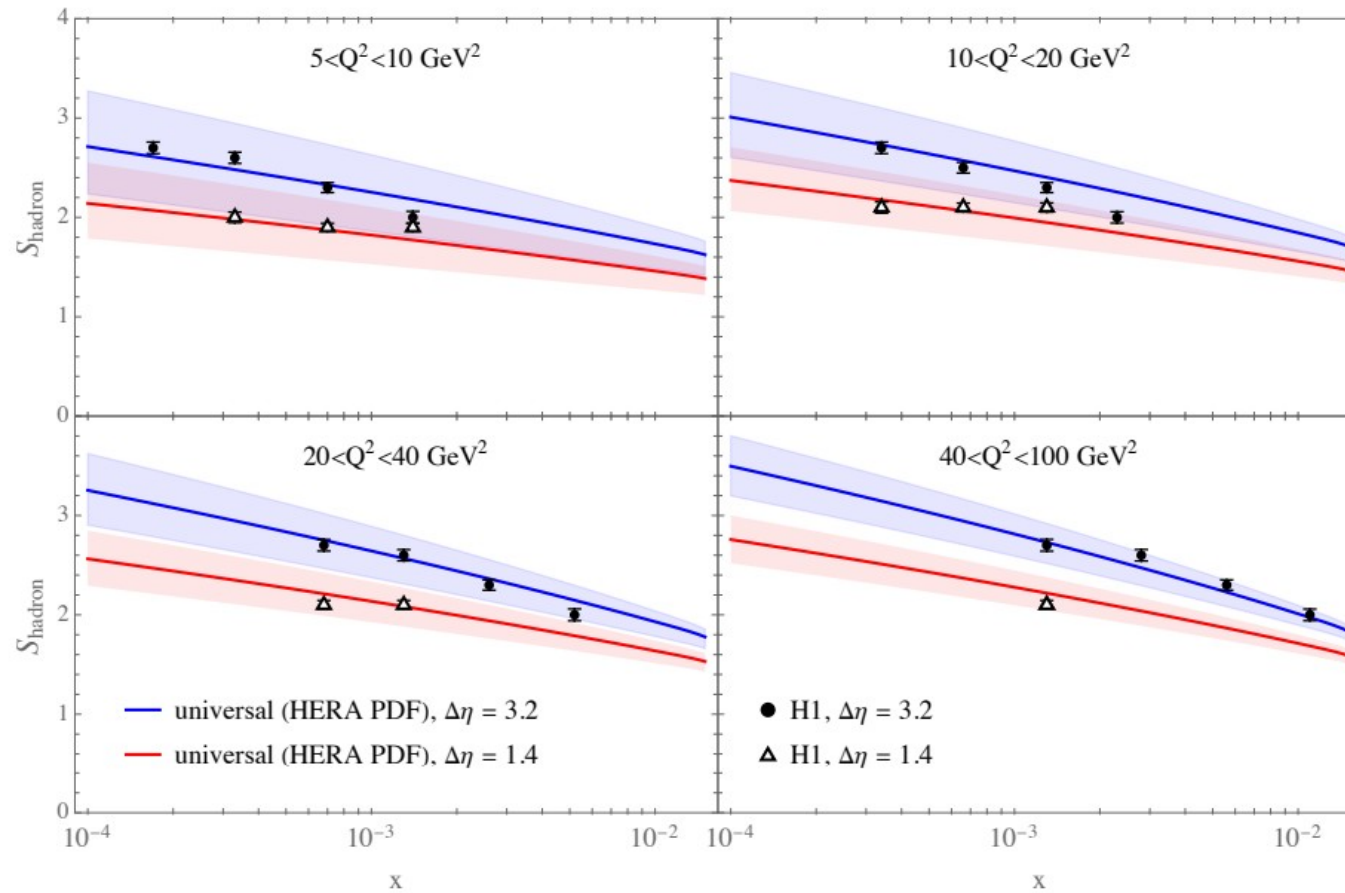
probability to have n dipoles in $[0, y]$

$$\tilde{p}_0(y, y_0) = e^{-\Delta(y-y_0)} \quad \tilde{p}_{n \geq 1}(y, y_0) = p_n(y) \cdot (1 - \tilde{p}_0(y, y_0))$$

$$\begin{aligned} S_{loc}(\bar{n}, \tilde{p}_0) &= - \sum_{n=0} \tilde{p}_n(\bar{n}, \tilde{p}_0) \ln \tilde{p}_n(\bar{n}, \tilde{p}_0) \\ &= -\tilde{p}_0 \ln \tilde{p}_0 - (1 - \tilde{p}_0) \ln(1 - \tilde{p}_0) + (1 - \tilde{p}_0) S_{inc.}(\bar{n}) \end{aligned}$$

For large rapidity window this formula reduces to $S_{inc.}^{univ.}(\bar{n}) = \ln(\bar{n})$

Data description



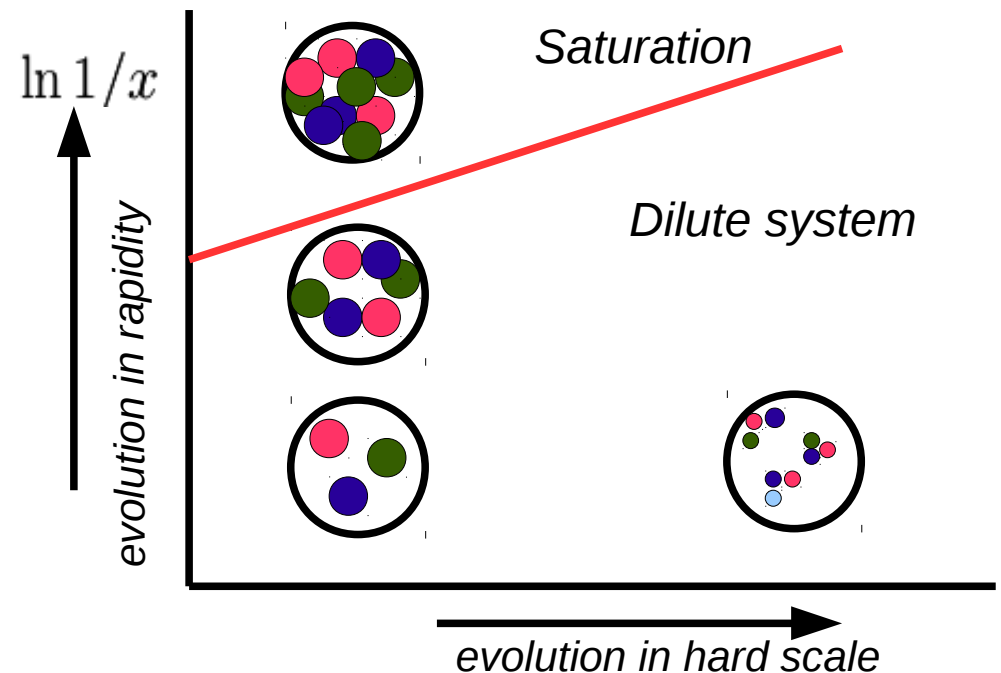
Gluons at high energies

Saturation – state where number of gluons stops growing due to high occupation number. Way to fulfill unitarity requirements in high energy limit of QCD.

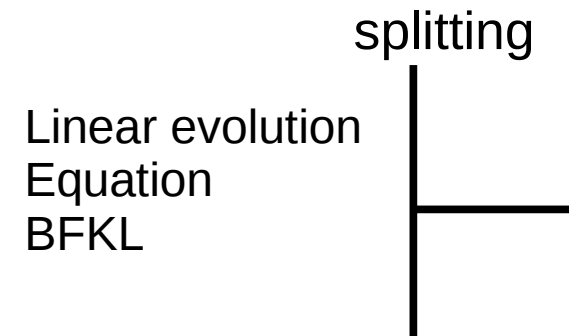
L.V. Gribov, E.M. Levin, M.G. Ryskin
Phys.Rept. 100 (1983) 1-150

Larry D. McLerran, Raju Venugopalan
Phys.Rev. D49 (1994) 3352-3355

Phenomenological model:
Golec-Biernat, Wusthoff '99

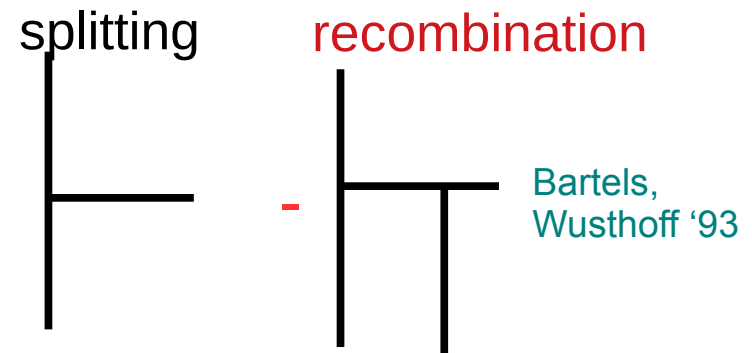


On microscopic level it means that
gluon apart splitting recombine



**Nonlinear evolution
equations**
BK, JIMWLK
Balitsky-Kovchegov,

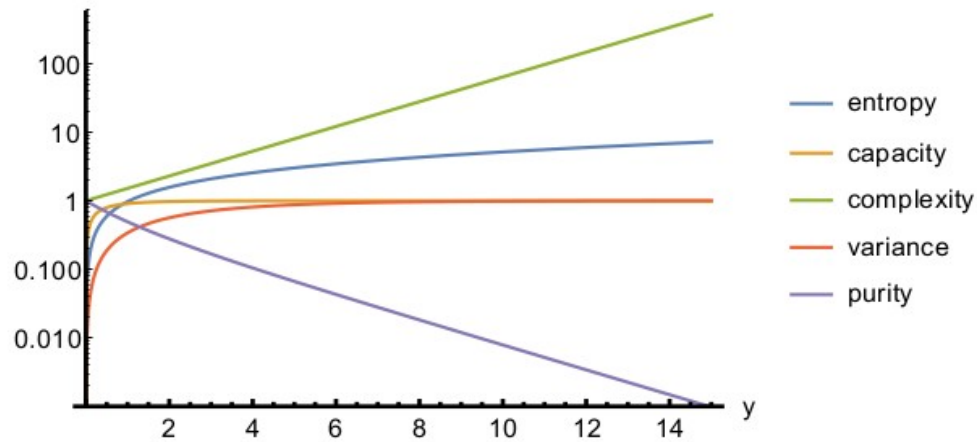
Jailian-Marian, Iancu
McLerran, Weigert, Leonidov, Kovner



QI measures and dipole equations

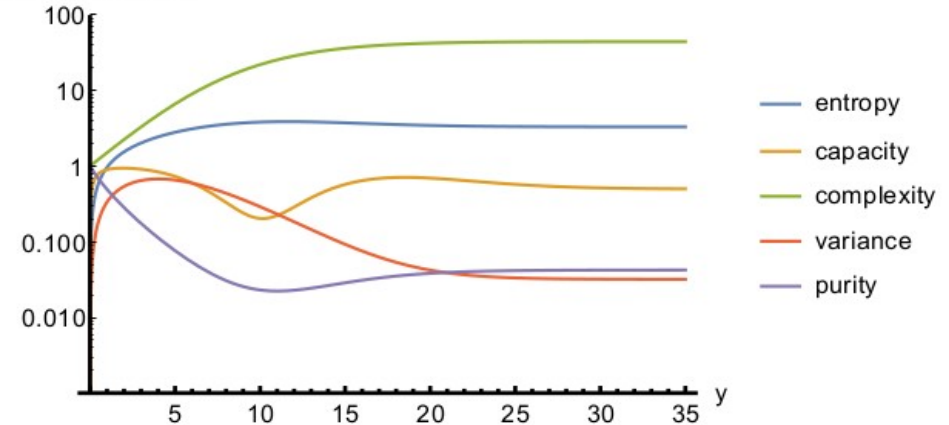
P. Caputa, K. Kutak, 2404.07657

quantum measures



$$\partial_Y p_n(Y) = -\lambda n p_n(Y) + \lambda(n-1)p_{n-1}(Y)$$

quantum measures

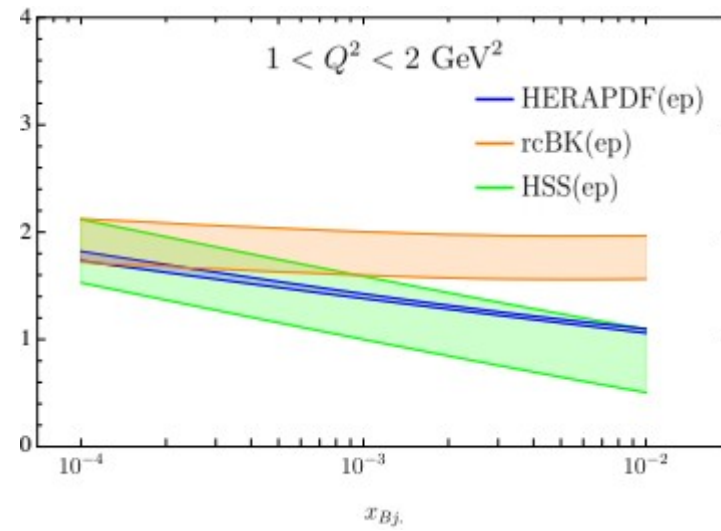
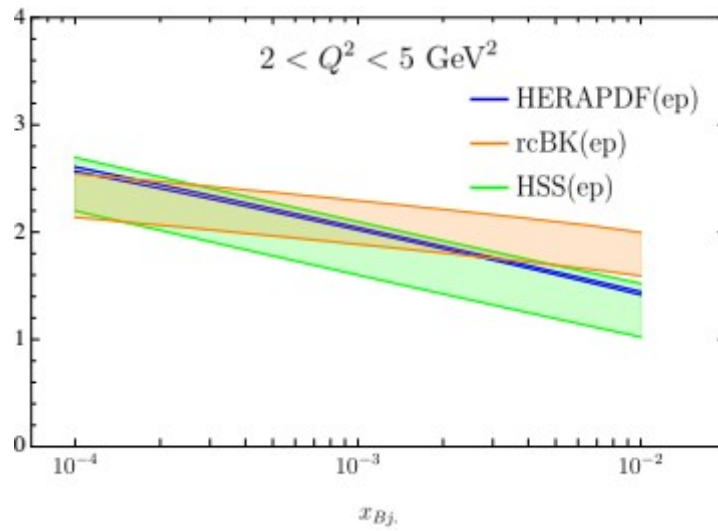


$$\begin{aligned} \partial_Y p_n(Y) = & -\lambda n p_n(Y) + \lambda(n-1)p_{n-1}(Y) \\ & + \beta n(n+1)p_{n+1}(Y) - \beta n(n-1)p_n(Y) \end{aligned}$$

Bondarenko, Motyka, Mueller, Shoshi, Xiao '07

Hagiwara, Hatta, Xiao, Yuan
Phys.Rev.D 97 (2018) 9, 094029

Small scales - prediction



Conclusions and outlook

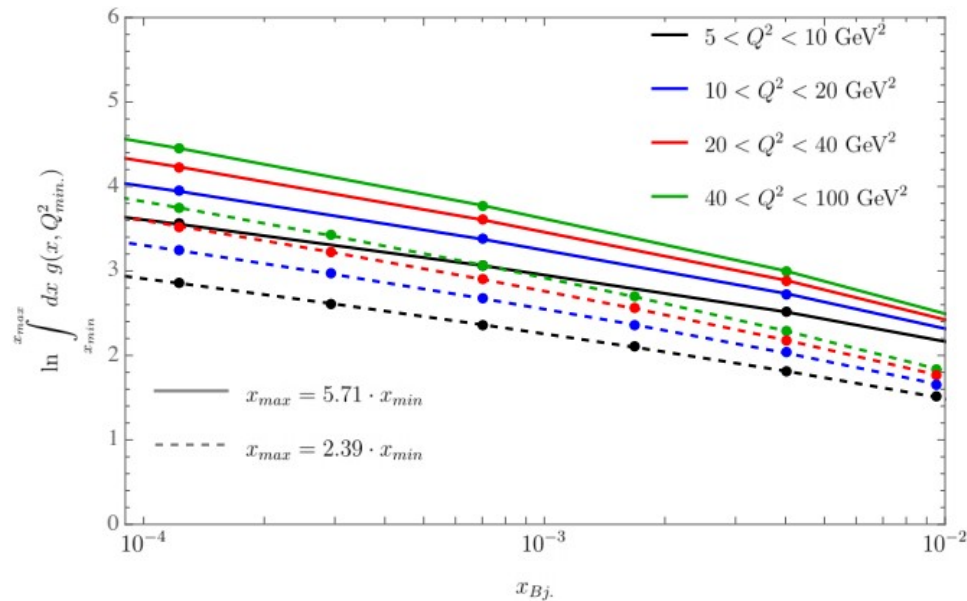
- We show further evidences for the proposal for low x maximal entanglement entropy of proton constituents. See also
[M. Hentschinski, K.Kutak, R. Straka EPJC '22,](#)
[H. Hentschinski, D. Kharzeev. K. Kutak, Z. Tu PRL'23](#)
- The KL formalism after generalization to moving rapidity window describes data
- We related the fixed dipole size evolution equation to equation for probabilities that follow from Lanczos/Krylov construction
- We demonstrated that gluon density within this model corresponds to Krylov complexity
- We applied various quantum measures to the multiplicity densities of the dipole model. New handle to look for saturation.

$$[L_0, \phi(z)] = \left(z \frac{d}{dz} + h \right) \phi(z) \quad \text{scaling}$$

$$[L_{-1}, \phi(z)] = \frac{d}{dz} \phi(z) \quad \text{translations}$$

$$[L_1, \phi(z)] = \left(z^2 \frac{d}{dz} + 2hz \right) \phi(z) \quad \text{special conformal}$$

Bining and KL formula



plot showing dependence of the result on the size of bins if binning is naive

Data binning takes place in rapidity

$$\bar{n}_g(\bar{x}) = \frac{1}{y_{\max} - y_{\min}} \int_{y_{\min}}^{y_{\max}} dy \frac{dn_g}{dy} = \frac{n_g(y_{\max}) - n_g(y_{\min})}{y_{\max} - y_{\min}}$$

$$y_{\max, \min} = \ln 1/x_{\min, \max}$$

for small bins

$$\bar{n}_g(x, Q^2) = \frac{dn_g}{d \ln(1/x)} = xg(x, Q^2)$$

$$\langle \bar{n}(x, Q^2) \rangle_{Q^2} = \frac{1}{Q_{\max}^2 - Q_{\min}^2} \int_{Q_{\min}^2}^{Q_{\max}^2} dQ^2 [xg(x, Q^2) + x\Sigma(x, Q^2)]$$

$$\langle S(x, Q^2) \rangle_{Q^2} = \ln \langle \bar{n}(x, Q^2) \rangle_{Q^2}$$

$$n_g(Q^2) = \int_0^1 dx g(x, Q^2)$$

Formal definition of number of gluons

$$n_g(\bar{x}) = \int_{x_{\min}}^{x_{\max}} dx g(x, Q^2)$$

$$\bar{x} \in [x_{\min}, x_{\max}]$$

$$\bar{x} = \frac{\int_{x_{\min}}^{x_{\max}} dx x g(x, Q^2)}{\int_{x_{\min}}^{x_{\max}} dx g(x, Q^2)}$$

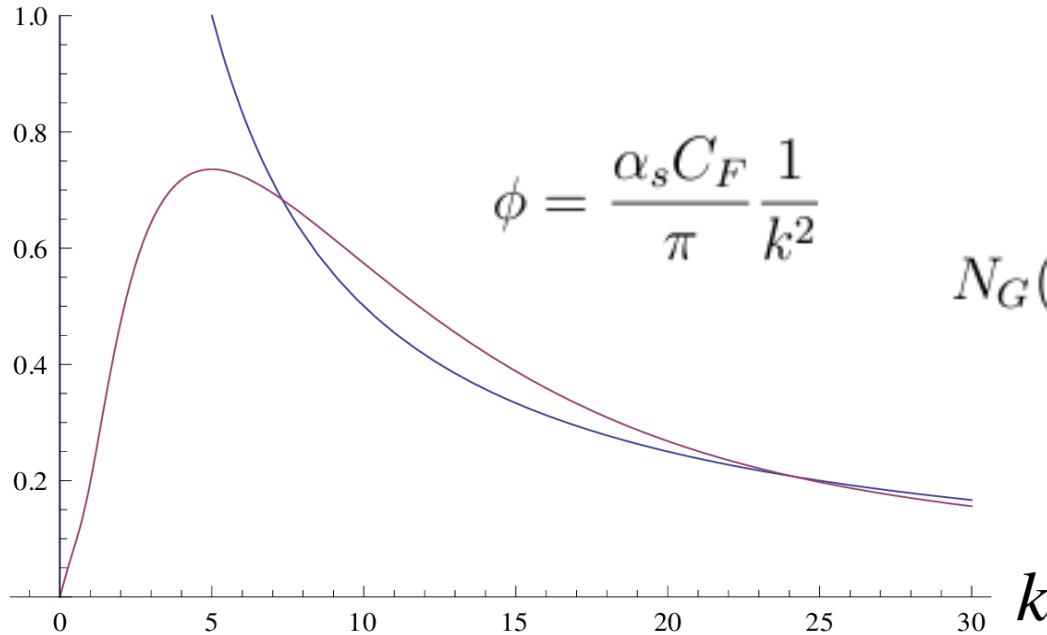
average x

Gluon production and entropy – another assumptions

Kutak '11

Bialas; Janik; Fialkowski, Wit; Iancu, Blaizot, Peschanski,...

$\phi(x, k)$



Many-body interactions

Medium generated mass of gluon.
Framework of Hard Thermal Loops.

Similarly in QED. Cut on photon's kt
Is equivalent to introducing mass.

In presented approach mass is not fixed it is x dependent

$$M_G(x) = Q_s(x)$$

energy dependent
gluon's mass

$$M(x) = N_G(x) M_G(x)$$

mass of system
of gluons

$$N_G(x) \equiv \frac{dN}{dy} = \frac{1}{S_{\perp}} \frac{d\sigma}{dy}$$

number of gluons

$$dE = TdS$$

$$dM = TdS$$

$$d[N_G(x) M_G(x)] = \frac{Q_s(x)}{2\pi} dS$$

Entropy due to less
dense hadron

$$S = \frac{6C_F A_{\perp}}{\pi\alpha_s} Q_s^2(x) + S_0$$

$$S = 3\pi [N_G(x) + N_{G0}]$$